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# **INTERNATIONAL BAR ASSOCIATION**

THE CONVENTION CENTRE DUBLIN

**DUBLIN, IRELAND** 

**CONFERENCE, MONDAY, 1 OCTOBER 2012** 

JUDICIAL INDEPENDENCE: THE ELECTION SYSTEM AND JUDICIAL REMOVAL IN IOWA

The Honourable Michael Kirby AC CMG

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# JUDICIAL INDEPENDENCE: THE ELECTION SYSTEM AND JUDICIAL REMOVALS IN IOWA

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## JUDICIAL INDEPENDENCE – A BASIC PRINCIPLE OF UNIVERSAL RIGHTS

Shortly before the adoption of the United States Constitution, one of the Founding Fathers, Alexander Hamilton, said there was a need for the "steady, upright and impartial administration of the laws" by a "judiciary of firmness and independence". Since then, judicial independence has been a core constitutional and political principle of the United States of America.

More than two hundred years later, these words and others like it have found their way into the principles and law of the international community. Despite the differing political, social, cultural and economic conditions in the signatories to international documents such as the *Universal Declaration of Human Rights* (UDHR), each nation has committed itself to upholding the rule of law and protecting human rights through an impartial and independent judiciary.<sup>2</sup>

For the independence of judges to be observed in a meaningful way, however, the support of the UDHR and the signing of treaties is but the first step – it must be implemented and protected in practice.<sup>3</sup> Perceptions of how this can and should be done have changed over time – at its most basic, it means the judiciary must be, and must be seen to be, independent from the Executive and Legislative branches of government. Today, however, the concept of judicial independence has evolved to a broader definition that includes

<sup>\*</sup> Justice of the High Court of Australia (1996-2009); President of the NSW Court of Appeal (1984-96); President of the International Commission of Jurisits (1995-8); Gruber Justice Prize 2010. The author acknowledges the major contribution to this paper of Rosa Chen (UTS, Sydney).

<sup>&</sup>lt;sup>1</sup> The Federalist, No 78.

<sup>&</sup>lt;sup>2</sup> Judicial independence is guaranteed by: Article 10 of the *Universal Declaration of Human Rights*; Article 14.1 of the International Covenant on Civil and Political Rights; Article 6 of the *European Convention on Human Rights*, Article 11 of the *Bangalore Principles of Judicial Conduct*, Article 7 of the *African Charter on Human and Peoples' Rights*; Article 8 of the *American Convention on Human Rights*; Article 3 of the *Inter-American Democratic Charter*.

<sup>&</sup>lt;sup>3</sup> Michael Kirby, "Independence of the legal profession: Global and regional challenges" (2005) 26 Australian Bar Review 133, 136

freedom from any influence that "might tend or be thought reasonably to tend to a want of impartiality in the decision making", such as a judge deferring to any "other association, whether professional, commercial or personal".

In the United States Supreme Court, Justice Frankfurter once said, "Public confidence in the Court must be nourished by the Court's complete detachment, in fact and in appearance, from political entanglements and by being absent from injecting itself...into political forces and settlements".

This statement suggests that a judge has the responsibility to apply the law as he or she understands it, on the basis of his or her assessment of the facts, without fear or favor and without regard to how unpopular or well received the final decision may be.<sup>7</sup>

The fundamental responsibility of independence<sup>8</sup>, so understood, has been eroded in the United States, by the 2010 dismissal of three Iowan Supreme Court judges over their ruling in favor of same-sex marriages, unpopular with some electors. Their effective removal from judicial office marks a disturbing turning point in the history of the independence of state judiciaries in the United States which the international community of judges and lawyers in the IBA needs to note and respond to.

#### HISTORY OF JUDICIAL ELECTIONS

To understand its full impact, of the removal of the lowan judges, regard must be had to the history of judicial selection and recall in the United States.

Of all common law jurisdictions, only the United States of America provides laws under which its judges may be appointed through an election process. In the decades following the American Revolution of 1776 and the adoption of the Constitution of the United States, mistrust of the King's appointees became mistrust of a bench as constituted by members of the aristocracy or favorites of those wielding official power. According to the *Declaration of Independence*, King George III had made colonial judges in the American settlements "dependent on his will alone, for the tenure of their offices and the amount and payment of their salaries". This was interpreted to mean that the judges tended to make decisions more agreeable to those in power, rather than according to the law. This, coupled with increased participation in politics by early settlers in the United States, their ambivalence

<sup>&</sup>lt;sup>4</sup> Sir Gerard Brennan, "Judicial Independence" The Australian Judicial Conference, 2 November 1996, Canberra, available from www.hcourt.gov.au; See also Article 11 of the *Bangalore Principles of Judicial Conduct*.

<sup>&</sup>lt;sup>5</sup> Lord Bingham of Cornhill, "Judicial Independence", *Judicial Studies Board Annual Lecture 1996*, available from www.jsboard.co.k; Article 12 of the *Bangalore Principles of Judicial Conduct*.

<sup>&</sup>lt;sup>6</sup> Baker v Carr, 369 US 186 (1962) Supreme Court of the United States of America; Article 13 of the Bangalore Principles of Judicial Conduct.

<sup>&</sup>lt;sup>7</sup> Article 27 and Article 28 of the *Bangalore Principles of Judicial Conduct*.

<sup>&</sup>lt;sup>8</sup> R v Beauregard, [1987] LRC (Const) 180 at 188, per Dickson CJ Supreme Court of Canada; Article 22 of the Bangalore Principles of Judicial Conduct.

<sup>&</sup>lt;sup>9</sup> The Declaration of Independence of the United States of America (1776).

<sup>&</sup>lt;sup>10</sup> Russell Wheeler, (1988) *Judicial Administration: Its Relation to Judicial Independence* (National Center for State Courts), 8-9.

towards the English common law, and increasing judicial corruption paved the way for the adoption of procedures for judicial elections.<sup>11</sup>

From 1776 to 1830, both state and federal judges were appointed in the United States. Jacksonian democracy then brought about a "wave of popularism", <sup>12</sup> where statewide judicial elections swept across the United States to replace the system of judicial appointment. The electoral option was adopted in response to the widespread opinion that governors and legislators had appointed judges on the basis of party loyalty rather than legal ability and professional merit.<sup>13</sup> The tension between the democratic rule of the people and the Constitution's rule of law became a tension between judicial accountability and judicial independence.

In 1832, Mississippi became the first State of the United States to adopt judicial elections. The idea that all public officials should be elected took fierce hold of the new nation.<sup>14</sup> Judicial accountability became a paramount objective of governance in the polity.

Elected judges were believed to be more accountable because they could be voted out of office. The people would "have a say in the matter". This meant the public gained a direct and legitimate say in how judges should be selected as well as an indirect influence on how the courts should perform.

By the 1860s, twenty-two of the then thirty-four states of the United States had judicial elections. The process, however, was highly politicized. By the early 1900s, it became evident that elective judiciaries were plagued with inconsistencies and larger risks of corruption. Able judges were being replaced by incapable judges. In 1913, outspoken members of the American legal community such as Herbert Harley, Albert Kales, Roscoe Pound and John Wigmore established the American Judicature Society, aiming to pursue judicial reform.

Albert Kales in particular, a member of the law faculty at Northwestern Law School in Chicago, devised the first 'merit plan'. This aimed to replace judicial elections with judicial appointments based on a merits system. Now known as the "Missouri Plan", after the first State to adopt it in 1940, the merit system attempted to balance the competing interests of judicial independence and accountability to the people. Today, the majority of states in the United States use variations of the appointment system, partisan or non-partisan election, and the Missouri Plan.<sup>17</sup>

The Missouri Plan, a merit-selection retention system, provides for the appointment of judges for a certain length of time before their retention is put to the vote. This system has

<sup>&</sup>lt;sup>11</sup> Stephen P Croley, "The Majoritarian Difficulty: Elective Judiciaries and the Rule of Law" 62 *University of Chicago Law Review* 689 (1995), 716.

<sup>&</sup>lt;sup>12</sup> Harvey Uhlenhopp, "Judicial Reorganization in Iowa" 44 *Iowa Law Review*, 6, (1959) 52.

<sup>&</sup>lt;sup>13</sup> Ryan C Cicoski, "Judicial independence and the rule of law: A warning from Iowa" 29 *Delaware Law Review* 16 (2011).

<sup>&</sup>lt;sup>14</sup> Croley, above n 11, 716.

<sup>&</sup>lt;sup>15</sup> Report of the Debates and Proceedings of the Convention for the Revision of the Constitution of the State of New York 141-42 (Evening Atlas, 1846) ("New York Debates") quoted in Croley, 717.

<sup>&</sup>lt;sup>16</sup> Gabriel D Serbulea, "Due Process and judicial disqualification: The need for reform" 38 *Pepperdine University Law Review* 1109, (2011) 1113.

<sup>&</sup>lt;sup>17</sup> Serbulea, above n 17, 1116; see also Appendix for a table of judicial selection processes in the States below.

been regarded as key to removing politics from the judicial selection process while still giving voters the ability to recall so called 'bad' judges. <sup>18</sup> Under retention, judges with expiring terms need voter approval to remain on the bench. However, they do not face competition from alternative candidates for their positions.

Today, twenty-four states use some form of judicial merit selection. Still, none requires anything more than a simple majority to oust a judge. From its inception in the 1930s to 2009, 637 state Supreme Court justices in sixteen states have faced retention votes. Only eight have lost. Of the 1,772 state appellate judges who faced retention votes, only two were ousted. At least for 75 years, it seemed that this system, was substantially achieving its objectives – to keep the politics out of judicial selection, to uphold the courts' independence while still including some measure of accountability, in the sense that judges who engaged in real misconduct could be voted off the bench by the electors.

#### *2010 AND IOWA*

The merit selection system has, however, been brought under national scrutiny and incited much debate since the ousting of the three Supreme Court judges in Iowa in 2010. It is necessary, therefore, to understand the situation that arose in Iowa.

In 1962, the people of lowa voted to adopt an amendment to the lowan Constitution to replace the elective judicial system with an appointive merits retention system. For 48 years, the system of judicial election remained undisturbed, until the controversial decision on marriage equality for same-sex couples was announced.

In 2005, Lambda Legal filed a lawsuit in Polk County, Iowa, on behalf of six same-sex couples who had been denied marriage licences by state officials. The couples claimed that Iowa's *Defense of Marriage Act* 1998, which prohibited marriage between same-sex couples, violated the liberty and equal protection clauses in the Iowan Constitution. Polk County District Judge Robert Hanson agreed with Lambda Legal's submission. He invalidated the law.<sup>22</sup> The state officials appealed to the Iowa Supreme Court.

Before the appeal was heard, word of the District Judge's decision spread throughout Iowa, occasioning action by civil and religious organizations such as Concerned Women for America of Iowa, Focus on the Family, the Iowa Christian Alliance, the Iowa Family Policy Center, and the Professional Educators of Iowa. <sup>23</sup> These groups formed an alliance

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<sup>&</sup>lt;sup>18</sup> John Gibeaut, "Co-equal opportunity: Legislators are out to take over their state judiciary systems, while critics say it's an attack on separation of powers" 98 *American Bar Association Journal* 44, (2012) 46.

<sup>&</sup>lt;sup>19</sup> Ian Bartrum, "Constitutional Rights and Judicial Independence: Lessons from Iowa" 88 Washington University Law Review 1047, 1051.

<sup>&</sup>lt;sup>20</sup> These figures were compiled by Albert Klump, a research analyst at McDermott Will & Emery, who is regarded as one of the nation's leading academic researchers on judicial retention. He is quoted in James Podgers, and Mark Curriden, "Judging the Judges: Landmark Iowa elections send a tremor through the judicial retention system" (2011) 97 *American Bar Association Journal* 56, 57.

<sup>&</sup>lt;sup>21</sup> Ibid

<sup>&</sup>lt;sup>22</sup> Varnum v Brien 2007 WL 2468667 (Iowa Dist. Ct. Aug. 30. 2007).

<sup>&</sup>lt;sup>23</sup> Cicoski above n 13, 20.

"lowans Concerned About Judges" (ICAJ). They sent questionnaires to all judges facing retention elections in 2006, asking whether the judges believed the Iowa Constitution permitted same-sex marriages or civil unions.<sup>24</sup>

The questionnaire alarmed the then Chief Justice of the Iowa Supreme Court, Louis Lavorato. He responded by issuing an announcement warning that "the public should be wary of voting for a judge who promises to rule a specified way". He pointed out that citizens expected judges to rule according to the law regardless of their personal views. They also expected them to "make decisions free of political intimidation and influence". 25 This warning was to go unheeded.

In 2009, the Iowa Supreme Court heard the appeal in the same-sex marriage case. By this time a new Chief Justice. Martha Ternus had been appointed to the court. The Supreme Court unanimously affirmed the District Judge's decision, upholding the ruling that the state DOMA legislation offended equality provisions of the Iowa Constitution and hence was unlawful.<sup>26</sup> The decision was controversial. Three of the Court's seven justices (including Chief Justice Ternus) were subject to retention elections in the following Autumn.

Persons and organizations opposed to same-sex marriage took immediate action. Mr Bob Vander Plaats, a former Republican candidate for Governor of Iowa, organized the antiretention movement called Iowa For Freedom (IFF). This was heavily financed by out-ofstate special interest groups such Mississippi's American Family Association and New Jersey's National Organization for Marriage.<sup>27</sup> The group called for "an end to judicial tyranny". It publicly accused the Iowa Supreme Court of "legislating from the bench, even attempting to amend the Constitution from the bench" by recognizing same-sex marriages.<sup>28</sup>

Although no Iowa Supreme Court justice had ever previously been defeated in a retention vote, the IFF and its special interest groups challenged the courts and the judges with an energy never before seen. For the first time in lowa's history, out-of-state interest groups spent more than in-state groups in the campaign against a state judicial retention. In total, they spent nearly double the amount that supporters of the judges spent. The out-of-state interest groups' spending totaled more than \$900,000 against the justices.<sup>29</sup> Thev filled the media with accusations of "judicial tyranny".

<sup>25</sup> Louis Lavorato, "Chief Justice Reacts to Judicial Questionnaire", Iowa Supreme Court, Aug 9 2006, http://www.judicial.state.ia.us/news\_service/news\_releases/NewsItem221/index.asp .

<sup>&</sup>lt;sup>24</sup> Iowans Concerned about Judges, "2006 Judicial Voters' Guide Questionnaire for Judicial Candidates, Sponsored by Iowans Concerned About Judges" available at <a href="http://www.iowansconcernedaboutjudges.com/doc/Survey.pdf">http://www.iowansconcernedaboutjudges.com/doc/Survey.pdf</a> .

<sup>&</sup>lt;sup>26</sup> Varnum v Brien 763 N.W. 2d 862 (Iowa 2009); where Cady J said "A statute inconsistent with the Iowa Constitution" must be declared void, even though it may be supported by strong and deep-seated traditional beliefs and popular opinion" (at page 15); see also Cady J's comment that "The only difference is civil marriage will now take on a new meaning that reflects a more complete understanding of equal protection of the law. This result is what our Constitution requires." (at page 67).

<sup>&</sup>lt;sup>27</sup> Podgers, above n 21, 59.

<sup>&</sup>lt;sup>28</sup> Cicoski, above n 13, 21.

<sup>&</sup>lt;sup>29</sup> Rov A Schotland, "Iowa's 2010 Judicial Election: Appropriate Accountability or Rampant Passion? 46 Connecticut Review 68, (2011) 70-71; Note that the greatest in-state sum spent against the justices amounted to \$10,178 only.

Supporters of the judges spent nearly \$400,000 in an effort to uphold the retention of the judges. The judges themselves declined to campaign or to raise funds.<sup>30</sup>

On election day, Chief Justice Marsha Ternus and Justices David Baker and Michael Streit lost their retention votes. They were thereby removed from the bench. Buoyed up by this outcome, the IFF moved to amend the State Constitution to prohibit same-sex marriages,<sup>31</sup> and to impeach the remaining Supreme Court justices who had taken part in the earlier unanimous decision.<sup>32</sup> Neither action succeeded. This may indicate that, when the fervour died down, and the question became whether or not the Supreme Court judges had actually engaged in misconduct sufficiently serious to warrant their removal them from the bench, the public's answer to that question was in the negative. It seemed that the majority of lowan voters, including many of those who had voted against the three judges, were opposed to impeaching the remaining judges.<sup>33</sup>

If the public in Iowa did not by this stage accept the original allegations against the Supreme Court judges, which means the vote did not truly represent the public's considered view, the result not only breached judicial independence. It also failed to ensure any real accountability. The outcome of this election makes it clear that the initial goal behind retention elections, which was to uphold judicial elections while ensuring that judges were "insulated from politics and public appeals", is no longer being achieved at least in Iowa.34

Where judges have the responsibility to uphold constitutional law and to protect the rights guaranteed to both the majority and the minority in society, the fact that the public can retaliate and unseat judges, purely for making a decision they do not agree with (rather than one that amounts to misconduct) militates against the fundamental principles of judicial independence and the rule of law. As Iowa's American Civil Liberties Union Director, Ben Stone, stated, "in a state that does not have an independent judiciary, all of the rights that are at stake in the state courts are up for grabs". 35

### EROSION OF INDEPENDENCE BY THE MERITS SYSTEM

<sup>30</sup> Ibid.

<sup>&</sup>lt;sup>31</sup> This was previously done in similar situations by California and Hawaii in the aftermaths of *Strauss v Horton*, 207 P.3d 48 (Cal. 2009) and *Baehr v Lewin* 852 P.2d 44, 67-68 (Haw. 1993).

<sup>&</sup>lt;sup>32</sup> This initiative was led by Republican member, Tom Shaw, who reprimanded the remaining judges for breaching the Iowa Constitution but failed to explain how the court's decision in Varnum violated Article III – which forbids one branch of government from performing the duties of other branches. The majority of Iowa voters were against impeaching the justices, given the grounds of impeachment were for "misdemeanors and malfeasance" in office. See Cicoski, above n 13, 25.

<sup>&</sup>lt;sup>33</sup> Des Moines Register, <a href="http://blogs.desmoinesregister.com/dmr/index.php/2011/01/18/polls-iowa-voters-oppose-">http://blogs.desmoinesregister.com/dmr/index.php/2011/01/18/polls-iowa-voters-oppose-</a> impeachment-for-supreme-court-justices/.

<sup>&</sup>lt;sup>34</sup> Since *Republican Party of Minnesota v White*, 536 U.S. 765 (2002), 'merit selection' plans have been the favoured method for judicial election reform over non-partisan elections; see Justice O'Connor's concurring opinion and support for merit selection at 789.

<sup>&</sup>lt;sup>35</sup> Bartum above n 20,1049.

What happened in lowa in 2010 has led to a stark realisation that the retention system no longer protects the independence of the state judiciary. Instead, the retention system has been eroding this core principle through increasing politicisation of the electoral process.

The main problems that have arisen are: inappropriate campaigning and overspending both by judges and special interest groups; changing judicial behavior in anticipation of a campaign; focusing on a single, controversial decision instead of the judge's overall professional ability to serve; retaining judges who are not fit or best-suited for the bench and ousting those who are; and encouragement of a poorly informed voter-base.<sup>36</sup>

# A. Inappropriate Campaigning And Overspending

In a report issued by the Brennan Center of Justice, it was shown that, between 2000 to 2009, the overall spending across the United States for judicial campaigns has doubled in the last decade. It now totals more than \$206.9 million.<sup>37</sup> This substantial amount has serious implications for the judiciary's independence and for the public's perception of its independence.

Leading judges are also becoming increasingly outspoken on the issue. During her 2010 keynote speech on the Symposium on State Judicial Independence, U.S. Supreme Court Justice O'Connor, herself a former state judge, emphasized the need for ethical campaigning from both sides and more guided and informative distribution of information to the general public, in order to "make [these] elections less nasty, expensive and destructive".<sup>38</sup>

Additionally, a serious issue of bias arises where, after participating in election campaigns, judges may feel the need to 'pay back' their donors.<sup>39</sup> At the very least, this will often be the perception. To this end, judges themselves have openly recognized the role of money and its influence on both elections and court decisions. In *Caperton v A.T. Massey Coal Co*,<sup>40</sup> where a state judge had not recused himself from hearing a matter in which the respondent had previously been a significant donor to his personal judicial campaign, by a five to four decision of the Justices, the United States Supreme Court concluded that he should have removed himself. The Supreme Court majority stated that

"There [wa]s a serious risk of actual bias based on objective and reasonable perceptions, when a person with a personal stake in a particular case had a significant and disproportionate influence in placing the judge on the case by raising funds, or directing the

<sup>40</sup> 129 S. Cth. 2252 (2009).

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<sup>&</sup>lt;sup>36</sup> Hallie Sears, "A new approach to judicial retention: Where expertise meets democracy" 24 *Georgetown Journal of Legal Ethics* 871 (2011), 876.

<sup>&</sup>lt;sup>37</sup> Brennan Justice Center's report on "The New Politics of Judicial Elections, 2000 – 2009: Decade of Change" http://brennan.3dn.net/d091dc911bd67ff73b\_09m6yvpgv.pdf.

<sup>&</sup>lt;sup>38</sup> Justice Sandra Day O'Connor, "Symposium: State Judicial Independence – A National Concern" (2010) 33 *Seattle University Law Review* 559, 565.

<sup>&</sup>lt;sup>39</sup> See *Republican Party of Minnesota v White*, 536 U.S. 765, (2002) at 790, which cited a study where funds raised by nine Texas Supreme Court Justices came from donors with close ties to cases or parties before the court.

Additionally, twenty-seven former chief justices and justices filed an amicus brief in the case, stating that "substantial financial support of a judicial candidate – whether contributions to the judge's campaign committee or independent expenditures— can influence a judge's future decision, both consciously and unconsciously". At a federal level and by a general consensus of the involved judges, it is clear that the influence of the donors on a judge's decision-making is the likelihood the judge will make decisions in favour of donors rather than against them although the latter course may be required by the law.

Overspending on campaigns by unrelated but interested parties is also a serious and growing issue. The most notable example of this is the growing influence of United States corporations over the selection of the judiciary. The United States Chamber of Commerce has become a powerful force in judicial elections. From 2001 to 2004, the Chamber lobbied successfully to secure its chosen candidates in 21 of 24 contested elections to judicial office. <sup>43</sup>

Additionally, the United States Supreme Court's decision in *Citizens United v Federal Election Commission*<sup>44</sup> has made it clear that individuals, corporations and unions are free from limits on campaign spending.<sup>45</sup> Rather than placing limits to minimize this political aspect of judicial elections, the Supreme Court's decision indicates that the public in the United States can expect still more aggressive fundraising for judicial elections in the future.

# B. Changing Judicial Behavior In Anticipation Of A Campaign

Another concern is that judges may change their behavior to court opinions, for fear of facing opposition in a retention election. Possibly the most high-profile retention election before that in Iowa in 2010, occurred in 1986, when California's Chief Justice Rose Bird and two associate Justices were voted off the state Supreme Court for overturning a death penalty sentence. Following the then Governor George Deukmejian's warning that "unless the [other justices] voted to uphold more death sentences", he would oppose their retention, California's Supreme Court had one of the highest rates of upholding sentences of death in the nation. Judges, like most other people are risk diverse where their occupations are concerned.

<sup>&</sup>lt;sup>41</sup> Caperton v A.T. Massey Coal Co 129 S. Cth. 2252, 2263-64 (2009).

<sup>&</sup>lt;sup>42</sup> Brief No. 08-22 in *Caperton v A.T. Massey Coal Co* 129 S. Cth. 2252 (2009).

<sup>&</sup>lt;sup>43</sup> Billy Corriher, "Big Business Taking Over State Supreme Courts: How Campaign Contributions to Judges Tip the Scales Against Individuals" (2012) Legal Progress, Center for American Progress; Note that in 2006, the Chamber spent more than \$1 million to aid the campaigns of two Ohio Supreme Court judges.

<sup>&</sup>lt;sup>44</sup> 130 S. Ct 876 (2010).

<sup>&</sup>lt;sup>45</sup> Citizens United v Federal Election Commission 130 S. Ct 876 (2010).

<sup>&</sup>lt;sup>46</sup> G. Alan Tarr, "Do Retention Elections Work?" 74 Missouri Law Review 605 (2009), 614.

<sup>&</sup>lt;sup>47</sup> Stephen B. Bright, and Patrick J Keenan, "Judges and the Politics of Death: Deciding Between the Bill of Rights and the Next Election in Capital Cases" *75 Boston University Law Review* 759 (1995), 785.

# C. Focusing on a Single Controversial Decision

A closer look also shows that there are other and earlier instances of judges facing public opposition for making unpopular decisions. In 2010, Colorado's Justices Jolene Blair and Terence Gilmore faced retention opposition for their previous roles as prosecutors in a controversial murder trial. Both were ousted despite unanimous support for their retention by the state's judicial retention commission.<sup>48</sup>

Also in 2010, Illinois' Justice Tom Kilbride faced opposition from the Illinois Civil Justice League, which advertised that he was 'soft on crime' for his 'poor' decision to reverse a law that capped damages in medical malpractice cases. 49 Although he won his retention vote, Justice Kilbride spent more than \$2.6 million campaigning to defend his office. 50

In 1996, Justice Penny White of Tennessee was unseated from the bench after overturning a death sentence.<sup>51</sup> These troubling events indicate the current and prolonged problems surrounding the retention system. They send a resonating message to state judges across the United States - voters can remove then where their judicial opinions are unpopular.52

As a matter of principle, the public's disagreement with a decision which was made following a judge's "honest interpretation of the law" simply cannot be a basis for removing iudges from their positions.<sup>53</sup> To do so would be to undermine the entire judiciary. It was not the original or stated reason for adopting the election and retention systems.

# D. Retaining Unfit Judges

There is the further problem of elections that result in retaining judges who are not fit for the bench whilst ousting those who are. In 2002, three Illinois circuit judges, Nicholas Byron, Edward Ferguson and Phillip Kardis were retained despite the Illinois Bar Association's recommendation against their retention, based on what the Bar judged to be their inappropriate decisions in asbestos class action cases.<sup>54</sup>

In 2007, Pennsylvania Judge Teresa Carr Deni was criticised by the Pennsylvania Bar Association for her "unforgivable miscarriage of justice" in a rape and armed assault case. However, she still won her retention election with 66 percent of the vote. 55

<sup>49</sup> Cotter, Daniel A, "2010 Elections suggest a revisiting of how we select judges" 24 *Chicago Bar Association* 20

<sup>&</sup>lt;sup>48</sup> Sears, above n 38, 874

<sup>&</sup>lt;sup>50</sup> Steve Stout, Kilbride faces unprecedented opposition in judicial retention bid, Times (Ottowa), Oct. 23. 1010, http://mywebtimes.com/archives/ottowa/display.php?id=416402

Sears, above n 38, 874

<sup>&</sup>lt;sup>52</sup> "Voters Moving to Oust Judges Over Decisions" A.G. Sulzberger, *The New York Times*, Sept 24 2010, http://www.nytimes.com/2010/09/25/us/politics/25judges.html

<sup>&</sup>lt;sup>53</sup> Cotter, above n 51, 23

<sup>&</sup>lt;sup>54</sup> Sears, above n 38, 874

<sup>&</sup>lt;sup>55</sup> See Chancellor Issues Statement on Judge Deni's Recent Ruling, Phila B Rep Online (Nov 1. 2007), http://www.best-lawyer.org/2007/11/phila-bar-slams-judge-in-rape-case.php; see also Pennsylvania Department of

These are just two such instances amongst undoubtedly more, where, in a context of removal laws, judges who were unfit to remain on the bench, campaigned successfully to keep their positions. The retention of judges based on popularity rather than merit goes to the heart of the problem. If a judiciary is subject to review by the public's opinion, how can state judges be seen to make fair and impartial decisions, let alone actually do so?

# E. Poorly Informed Voter-Base

Finally, there is also the issue of a poorly informed popular voter-base. In response to this widely-held criticism,<sup>56</sup> the American Bar Association and the American Judicature Society have sought to establish evaluation bodies in all States, which would take an active role in gathering and distributing information in relation to retention elections, to help voters make more informed choices.<sup>57</sup> Despite these efforts, in light of more recent events (including the events in Iowa), the American Bar Association has withdrawn its support of judicial retention elections. It has called for judges to enjoy extended terms without retention votes because of the negative impact of such votes on judicial decision-making.<sup>58</sup>

#### THE POSITION OF BAR ASSOCIATIONS

When the situation in Iowa unfolded, the expected and natural champion for the three judges, the Iowan State Bar Association (ISBA), was rather slow to respond and instead stepped to the side, allowing internal divisions to 'water down' their support. <sup>59</sup> In the months leading to the retention vote, the ISBA had stated that it would defend the Justices, which discouraged some would-be-supporters from taking action to support the judges.

The then President of the ISBA, Frank Carroll, said in a press release that the ISBA was "confident judges in [lowa] will continue to focus on the law and not allow political influence and campaign money to impact the decisions they make in resolving disputes the people of lowa may bring before them".<sup>60</sup>

The extent of the ISBA's support for the judges, however, was disappointing. Rather than forming a united front, the ISBA created a separate organization to tiptoe around those members who were in favor of unseating the judges, and allow those who supported the judges to address the public through a different outlet. The organization provided resources to help voters make informed decisions. However it was silent on the issue of whether or not any of the judges should be retained. This effort was inadequate. It

State, 2007 Judicial Retention Election Official Returns,

 $\underline{http://www.electionreturns.state.pa.us/ElectionsInformation.aspx?FunctionID=17\&ElectionID=26\&OfficeID=15.}$ 

<sup>&</sup>lt;sup>56</sup> See Justice Sandra Day O'Connor, "Symposium: State Judicial Independence – A National Concern" 33 Seattle University Law Review 559 (2010), 565.

<sup>&</sup>lt;sup>57</sup> Tarr, above n 48, 607.

<sup>&</sup>lt;sup>58</sup> Sears, above n 38, 876.

<sup>&</sup>lt;sup>59</sup> Marsha Ternus, 'The Politicization of Judicial Elections' (Speech delivered at the National Association of Bar Executives Meeting, Des Moines, Iowa, 9 November 2011).

<sup>&</sup>lt;sup>60</sup> Martha Neil, 'Election Roundup: 3 Top Iowa Judges Defeated for Retention After Gay Marriage OK' American Bar Association Magazine (Washington), 3 November 2010.

showed the public that the legal community is weak and divided on the retention vote. The effect was that it gave confidence to those campaigning against the judges. It sent the message that the ISBA, an organization whose duties included upholding and protecting the integrity and independence of the courts, could and would bend to popular public opinion.

Former Chief Justice Marsha Ternus stated that "Bar associations can play an important role, [where] members can speak in their local communities about the role of courts and the rule of law". Had the ISBA taken a more active role during the three Justices' retention votes, the outcome might have been different – it might have been based on the judges' professional merit, rather than emotional and equally irrational public opinion.

On a national level, the American Bar Association (ABA) gave support to the three Justices. In a subsequent press release, the then Chair of the ABA's Standing Committee on Judicial Independence, William Weisenberg, stated that the result of the Iowan retention vote "sets a very dangerous precedent for people who are angry about one single decision and are now able to go out of state to special interest groups to raise large sums of money in an effort to influence decision-making back at home". 62

He said, "We must take a closer look". <sup>63</sup> However that did not include any formal action or position taken by the ABA during or after the 2010 retention elections. Now, with the upcoming retention elections in lowa, the extent of the ABA's efforts has been to administer "Least Understood Branch" training to the ISBA leaders, regarding how to respond to questions about the role of the judiciary and any attacks upon it. <sup>64</sup> The training is not specifically targeted to address retention elections, However it has been commented by the ABA Justice Center as being "geared to help leaders talk about the critical issues impacting judicial independence". <sup>65</sup> Without a specific focus on how to mitigate, or prevent, similar situations from reoccurring, it may be said that the training neither targets the problem nor raises awareness in the proper context for the need for a fair and impartial judiciary.

Without the unanimous and vigorous support of the ISBA and the ABA to defend judicial integrity and independence, the result of the 2010 lowan retention votes were therefore unsurprising.

# AUSTRALIAN, BRITISH AND INTERNATIONAL COURTS

Courts across the globe have long emphasized the importance of judicial independence in delivering justice. Article 6 of the *European Convention for the Protection of Human Rights* 

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<sup>&</sup>lt;sup>61</sup> Marsha Ternus, 'The Politicization of Judicial Elections' (Speech delivered at the National Association of Bar Executives Meeting, Des Moines, Iowa, 9 November 2011).

<sup>&</sup>lt;sup>62</sup> Curriden, Mark, 'Judging the Judges: Landmark Iowa Elections Send Tremor Through the Judicial Retention System' American Bar Association Magazine (Washington), 1 January 2011.

<sup>&</sup>lt;sup>64</sup> Soledad McGrath, Associate Director, Chief Counsel, ABA Justice Centre.

<sup>&</sup>lt;sup>65</sup> Soledad McGrath, Associate Director, Chief Counsel, ABA Justice Centre.

and Fundamental Freedoms states that "citizens have the right to have disputes decided by an independent and impartial tribunal". This principle has been upheld and reiterated by the European Court of Human Rights in many cases including, Findlay v United Kingdom. And V v United Kingdom.

In Australia, the cases of *Forge v Australian Securities and Investments Commissions*<sup>69</sup> and *North Australian Aboriginal Legal Aid Service v Bradley*<sup>70</sup> stress the important role that tenure plays in guaranteeing the integrity and independence of the courts and the judicial officers who serve in them.

In *Forge*, the issue was whether a state in Australia could appoint acting judges who did not have permanent tenure. Although the majority of the High Court accepted that, realistically, in certain circumstances and with limited use, Australian states could appoint acting judges, the legal standard of a "court" had to have to exhibit the essential requirements of independence and impartiality.<sup>71</sup> For that legal standard to be met, tenure was considered as one of the "important aspects of the arrangement that supports the individual and personal aspects of judicial independence".<sup>72</sup>

Although State judges in Iowa are not "acting judges", they nevertheless did not enjoy tenure if they were subject to retention elections after serving for a number of years. The issues that could arise from lack of tenure, as previously mentioned, are both the actual bias and the appearance of bias on the part of the judges in their decision making.

In *Forge*,<sup>73</sup> Gummow, Hayne and Crennan JJ said that the "the apprehension of bias principle is one which reveals the centrality of considerations of both the fact and the appearance of independence and impartiality in identifying whether particular legislative steps distort the character of the court concerned".<sup>74</sup> Their Honours considered whether an acting judge would be seen to be biased in his / her decision making due to the lack of security of office, and emphasized the importance of not only judges actually acting without bias but also appearing to act without bias.<sup>75</sup>

I dissented in the *Forge* decision. I quoted Stevens J in *Republican Party of Minnesota v White*,<sup>76</sup> who commented on the importance of ensuring that judges are removed from any necessity, or inclination, to court the good opinion of the government of the day.<sup>77</sup>

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<sup>&</sup>lt;sup>66</sup> Article 6(1) of the European Convention for the Protection of Human Rights and Fundamental Freedoms.

<sup>&</sup>lt;sup>67</sup> (1997) 24 EHRR 221.

<sup>&</sup>lt;sup>68</sup> (1999) 30 EHRR 121.

<sup>&</sup>lt;sup>69</sup> (2006) 228 CLR 45.

<sup>&</sup>lt;sup>70</sup> (2004) 218 CLR 416.

<sup>&</sup>lt;sup>71</sup> Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45 [28] Gleeson CJ.

<sup>&</sup>lt;sup>72</sup> Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45 [36] Gleeson CJ.

<sup>&</sup>lt;sup>73</sup> Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45.

<sup>&</sup>lt;sup>74</sup> Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45 [68] Gummow, Hayne and Crennan JJ.

<sup>&</sup>lt;sup>75</sup> Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45 [78] Gummow, Hayne and Crennan JJ.

<sup>&</sup>lt;sup>76</sup> 536 US 765 (2002).

<sup>&</sup>lt;sup>77</sup> Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45 [124] Kirby J.

There is a critical difference between the work of the judge and the work of other public officials. In a democracy, issues of policy are properly decided by majority vote; it is the business of legislators and executives to be popular. But in litigation, issues of law or fact should not be determined by popular vote; it is the business of judges to be indifferent to unpopularity.<sup>78</sup>

I favoured forbidding the states in Australia appointing acting judges, on the basis that it was "inimical to true judicial independence and impartiality". At about the same time as the Scottish case of *Starrs v Ruxton*, ti was held that a court, presided over by a temporary sheriff under the then arrangements applicable to the Scottish judiciary, did not constitute an "independent and impartial tribunal" in terms of Art 6(1) of the *European Convention for the Protection of Human Rights and Fundamental Freedoms*. The decisions of the temporary sheriffs, and their orders, were therefore found to be invalid. That decision was accepted and not challenged in the United Kingdom.

The United Nations Human Rights Committee has pressed the importance of judicial tenure as an essential prerequisite for an independent judiciary.<sup>81</sup> In its observations about judicial arrangements in different countries, the committee expressed its concern about the lack of tenure as an impediment to the independence of the judiciary.<sup>82</sup> The Committee, like the European Court of Human Rights in upholding Art 6(1) of the *European Convention*, has drawn distinctions between:

- The standards applicable to administrative as distinct from judicial tribunals;<sup>83</sup>
- The standards stated in the legal text and the requisite appearance of independence and "objective impartiality" in practice; 84 and
- Individual infractions and institutional defects, <sup>85</sup> the latter ordinarily being more serious because they are likely to repeat their consequences in many decisions made by the flawed institution.

These considerations led to the High Court of Justiciary in Scotland to find that the institutional arrangements for the temporary sheriffs should be declared incompatible with

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<sup>&</sup>lt;sup>78</sup> Republican Party of Minnesota v White 536 US 765 (2002) at 798.

<sup>&</sup>lt;sup>79</sup> Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45 [125] Kirby J.

<sup>&</sup>lt;sup>80</sup> 2000 JC 208.

<sup>&</sup>lt;sup>81</sup> Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45 [211] Kirby J; see S Joseph, J Schultz and M Castan (eds), *The International Covenant on Civil and Political Rights: Cases, Materials, and Commentary,* 2nd ed, Oxford University Press, 2004, pp 404-5 [14.30].

<sup>&</sup>lt;sup>82</sup>Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45 [211] Kirby J; see United Nations Human Rights Committee, Concluding Observations on Slovakia, UN Doc CCPR/C/79/Add.79, (1997) at [18].

<sup>&</sup>lt;sup>83</sup> Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45 [211] Kirby J; Cf Campbell and Fell v United Kingdom (1984) 7 EHRR 165; A P Lester and D Pannick (eds), Human Rights Law and Practice, 2nd ed, LexisNexis, London, 2004, p 237 [4.6.55].

<sup>&</sup>lt;sup>84</sup>Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45 [211] Kirby J; see Findlay v United Kingdom (1997) 24 EHRR 221 at 244-5 [73]; Stafford v United Kingdom (2002) 35 EHRR 1121 at 1143 [78]; Clark (Procurator Fiscal, Kirkcaldy) v Kelly [2003] 1 All ER 1106.

<sup>&</sup>lt;sup>85</sup> Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45 [211] Kirby J Valente; Beaumartin v France (1994) 19 EHRR 485.

the right to trial by "an independent and impartial tribunal". 86 As Lord Reed stated,

[T]he United Kingdom practice of appointing temporary judges appears to be unusual within a European context: it appears that in almost all the other systems surveyed the appointment of a temporary judge by the executive for a period of 1 year, renewable at the discretion of the executive, would be regarded as unconstitutional.<sup>87</sup>

In the *Forge* case in Australia, I sought to explain why, we should maintain an awareness of the international requirements of judicial independence and impartiality, including in respect of judicial tenure.<sup>88</sup>

Each complaint of individual and institutional infractions must be judged on its own merits and in an Australian context. Considerations of practicality, economy and post-service desire for further judicial service may be given weight. Constitutional provisions, treaty obligations and institutional arrangements will inevitably vary as between different countries. However, the significance of the elaboration of international human rights standards in the context of acting and part-time judges is now clear. Increasingly, the defects of such appointments, when measured against the requirements of fundamental human rights, have been identified and given effect by courts and tribunals of high authority in many countries.<sup>89</sup>

To the extent that practising lawyers are temporarily appointed, subsequently or in between judicial tasks returning to their individual practices, the defects in manifest independence and impartiality are obvious. <sup>90</sup> On this point, Sir Gerard Brennan a past Chief Justice of Australia said:

But what of the lawyer who would welcome a permanent appointment? What of the problem of such a lawyer faced with a decision which might be very upsetting to government, unpopular with the media or disturbing to some powerful body with influence? Anecdotal stories soon spread about the 'form' of acting judges which may harm their chances of permanent appointment in a way that is unjust. Such psychological pressures, however subtle, should not be imposed on decision-makers.<sup>91</sup>

At a time of increased media and other attacks on judges in Australia, an institutional change that shifts a significant cohort of the state judiciary from permanent tenured judges to part-time, temporary or provisional judges is seriously threatening to the independence and impartiality of that judiciary. The same can surely be said today for judges in lowa and many other states in America in the way in which election systems and retention votes are

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<sup>&</sup>lt;sup>86</sup> Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45 [213] Kirby J.

<sup>&</sup>lt;sup>87</sup> Starrs v Ruxton 2000 JC 208 at 242-3.

<sup>&</sup>lt;sup>88</sup> Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45 [214] Kirby J.

<sup>&</sup>lt;sup>89</sup> Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45 [215] Kirby J.

<sup>&</sup>lt;sup>90</sup> Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45 [219] Kirby J.

<sup>&</sup>lt;sup>91</sup> See G Brennan, "The State of the Judicature", (1998) 72 *ALJ* 33 at 34; and Kirby, "Independence of the Judiciary – Basic Principle, New Challenges", address to the International Bar Association Conference, Hong Kong, 12 June 1998, p 12.

now being undertaken.

Although during service, the acting judge might be immune from day-to-day executive interference, their desire for reappointment as an acting judge (or confirmation as a permanent judge) renders the temporary appointee dependent on a decision by the executive. 92 This is not a feature of the tenure of permanent judges. 93 State judges in the United States are subjected to the same problem, except that their reappointment is dependent on a decision by the public rather than the executive, which is arguably more unpredictable and less defined.

To suggest that an acting judge, desirous of reappointment would be wholly uninfluenced, on the basis of a possible reappointment, by the risk of upsetting government with a decision, may be correct in the individual case. But it makes a considerable demand on human nature. Not all reasonable observers will be persuaded that it is so.<sup>94</sup>

To suggest also, that an American state judge could be completely uninfluenced by public opinion in his or her decision-making, having regard to the reality that he or she is dependent upon that same public opinion to retain his or her seat, is perhaps more than a "considerable demand on human nature which not all reasonable observers would think". 95

In Porter v Magill, 96 the House of Lords in the United Kingdom cited the statements of the European Court that, in considering whether a tribunal is independent, regard must be had to the manner of appointment of its members and their terms of office, and the existence of guarantees against outside pressures.97 In all these factors, the court is not only required to be "truly independent and free from actual bias, proof of which is likely to be very difficult, but also that it must not appear in the objective sense to lack these essential qualities". 98

Canadian courts have also raised concerns about the "procedure and criteria for the appointment of judges that may bear on the perception of judicial independence". 99 In Valente v R, 100 Le Dain J urged for the "general adoption of the highest standards or safeguards, not only with respect to the traditional elements of judicial independence, but also with respect to other aspects now seen as having an important bearing on the reality and perception of judicial independence". 101

<sup>&</sup>lt;sup>92</sup> Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45 [220] Kirby J.

<sup>&</sup>lt;sup>93</sup> Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45 [220] Kirby J.

<sup>&</sup>lt;sup>94</sup> Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45 [223] Kirby J.

<sup>95</sup> Forge v Australian Securities and Investments Commissions (2006) 228 CLR 45 [223] Kirby J.

<sup>&</sup>lt;sup>96</sup> [2002] 2 AC 357.

<sup>&</sup>lt;sup>97</sup> North Australian Aboriginal Legal Aid Service v Bradley (2004) 218 CLR 416 [3] Gleeson CJ quoting Lord Hope of Craighead in Porter v Magill [2002] 2 AC 357 [88].

<sup>98</sup> *Porter v Magill* [2002] 2 AC 357 [80] Lord Hope of Craighead.

<sup>&</sup>lt;sup>99</sup> Valente v R [1985] 2 SCR 673 [25] Le Dain J.

<sup>&</sup>lt;sup>100</sup> [1985] 2 SCR 673.

<sup>&</sup>lt;sup>101</sup> Valente v R [1985] 2 SCR 673 [25] Le Dain J.

As was stated in Australia in *Bradley*, <sup>102</sup> there are differences between the judiciaries of different countries. No single model of judicial independence exists. <sup>103</sup> But it can be said that the judicial decisions in Australia the United Kingdom, Canada and the European Court of Human Rights all insist that impartiality and the appearance of impartiality are necessary for the maintenance of public confidence in the judicial system. <sup>104</sup> These elements are undermined if judges are liable to be removed from office for faithfully discharging their judicial duties, as by a process of popular recall or non retention.

### CONCLUSION: A SHOCKING DEPARTURE FROM INDEPENDENCE

Both the public and members of the legal community in the United States commonly recognize the problems that retention elections and judicial elections bring to judicial independence. Yet very little has been done to address these problems and basically nothing since the removal of the Iowa Supreme Court judges in 2010. Whilst it is clear that there will not be a change to constitutional arrangements overnight, that judicial elections will be removed entirely, what is clear is that the situation in the United States warrants the concerted efforts of all relevant players, including the Bar, to address the fundamental issues now identified.

Judges eventually bear the responsibility of discharging their judicial office. It is not a privilege for the judges, but a responsibility imposed on each judge to decide a matter that falls for judgment honestly and impartially in accordance with the law. <sup>105</sup> It is the judiciary that upholds the rule of law, interprets the Constitution and safeguards all of the people, while protecting unpopular minorities and individuals.

Justice Ben Overton of the Florida Supreme Court once said,

"It was never contemplated that the individual who has to protect our individual rights would have to consider what decision would produce the most votes". 106

Yet that is now the result of the kind of action taken in lowa. The fundamental principles of judicial independence must include independence from all forms of immaterial outside influence. While today, citizens in the United States might say that their State judiciaries are free from interference by other branches of government, it is clear that the state judiciary in most states faces a far more dangerous and powerful challenge – the wrathful votes of an opinionated public. More must be done to spread awareness and to encourage reform on, this issue. It is the country's courts that stand for, and protect, the rights of the

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<sup>&</sup>lt;sup>102</sup> Bradley, [3] Gleeson CJ.

<sup>&</sup>lt;sup>103</sup> Bradley, [14] Gleeson CJ.

<sup>&</sup>lt;sup>104</sup> Ebner v Official Trustee in Bankruptcy (2000) 205 CLR 337 [28] Gaudron J.

<sup>&</sup>lt;sup>105</sup> Article 22 of the *Bangalore Principles of Judicial Conduct*.

<sup>&</sup>lt;sup>106</sup> Quoted in Bright, Stephen B, "Political Attacks on the Judiciary" (1997) 80 Judicature 165, 166.

people. If the judiciary's independence can be so easily threatened by the rise and fall of popular sentiments, state judges cannot be or be seen to be independent and impartial in their decision-making. This feature of the State judicial service weakens and ultimately endangers the entire judiciary in the United States and the confidence of the people in the judicial office.

The best safeguard for judicial independences, impartiality and integrity has been found, by experience over the centuries, to be security of judicial tenure. In that way the judge, in making decisions, is not subject to dangers or fears of or appearances of removal from office for offending powerful forces (governmental or private) by his or her decisions.

That is why election to judicial office and removal by electoral process are extremely rare, in the world. The system exists, substantially, only in state jurisdictions in the United States of America and in election to United Nations and regional courts and tribunals. In both of these instances serious deficiencies are observed. They result in significant proposals for reform, calling for abolition of modification of the electoral procedure.

The system involving appointment by the executive and parliamentary confirmation and removal does, it is true, involve some risks of the appointment of candidates to the judiciary otherwise than on professional merit and (more rarely) removal of some on political grounds. There is also a risk that appointed judges who manifest various deficiencies will sometimes remain in office simply because of the great difficulty of achieving their removal. Essentially, this situation is tolerated because the *marginal utility* of removing such judges easily is outweighed by the *marginal cost* of thereby inviting external influences upon the performance of judicial duties by *all* judges.

Human imperfections exist in all public and private institutions and amongst their personnel. The expected duties of judicial officers are such that a high measure of independence is essential to their discharge. If this means occasionally tolerating an unsuitable judge in office this is a compromise that most societies have been willing to pay. They do so because of the more serious disadvantages of alternative systems involving selection and removal (renewal) of judges by means of election elections.

In the post revolutionary context of the United States of America in the 1830s the historical origins of the United States systems of election and removal of state judges are perhaps understandable. The reforms that have ameliorated the early electoral systems have certainly reduced the worst dangers deriving from superimposing on judges an alien mode of accountability through popular elections. This is inherently alien because prone to undesirable pressures incompatible with judicial independence, impartiality and integrity.

Actual cases of abuse in the United States it is true, have been relatively rare. Nonetheless, the systems of election to office and recall or removal from office of judges are fundamentally inconsistent with the universal principles recognised by the United Nations treaties and most countries. Appreciating fully the great difficulty that would be involved in achieving the repeal of such long standing arrangements, the election systems in force in the United States should be repealed and certainly substantially reformed. Bar Associations should support such repeal. So should scholars and civil society organizations and political leaders. The States of the United States should substitute a

system similar to that established for federal judges by the Constitution of the United States of America. That system has served the United States well for more than two centuries. It amalgamates appropriately procedures of appointment and removal and engagement with democracy. It has produced federal courts of great talent and integrity. It should replace state systems that rely on electoral involvement.

The removal of the Chief Justice and two justices of the Supreme Court in Iowa in 2010 is shocking to judges and lawyers from outside the United States. I do not doubt that many judges, lawyers and citizens in the United States are also shocked. These events constitute a direct attack on the independence of the judiciary in a country which prides itself as a bastion of liberty and defender of the rule of law. The rule of law is imperiled where the danger and actuality of the punishment of judges exists, because, their decisions are seen as unpopular to politicians and electors.

The International Bar Association should request its Human Rights Institute to study the lowa case and other recent cases with a view to its expressing an opinion on the systems of judicial elections and electoral renewals and recall in State jurisdiction in the United States. It would cause no surprise if the Human Rights Institute were to conclude that universal principles of international human rights law have been breached by such direct electoral engagement. In that event, it would be necessary for the IBA to express to the United States and Iowan authorities, politely but firmly, that the present laws that allow this to happen are in breach of international law and should be repealed and replaced.

# **APPENDIX 1**

TABLE 1: INITIAL SELECTION METHOD<sup>107</sup>

<b>TABLE 1: INITIAL SELE</b>	CTION METHOD			
Partisan Election (6)	Non-Partitsan Election (15)	Merit Plan (15)	Governor / Legislature (13)	
Alabama	Arkansas	Alaska	California	
Illinois	Georgia	Arizona	Connecticut	
Louisiana	Idaho	Colorado	Delaware	
Pennsylvania	Kentucky	Florida	Maine	
Texas	Michigan	Hawaii	Massachusetts	
West Virginia	Minnesota	Indiana	New Mexico	
	Mississippi	Iowa	New Jersey	
	Montana	Kansas	New York	
	Nevada	Maryland	Rhode Island	
	North Carolina	Missouri	South Carolina	
	North Dakota	Nebraska	Tennessee	
	Ohio	Oklahoma	Vermont	
	Oregon	South Dakota	Virginia	
	Washington	Utah		
	Wisconsin	Wyoming		

TABLE 2: RETENTION METHOD<sup>108</sup>

TABLE 2: RETENTION METHOD				
Partisan Election (4)	Non-Partitsan Election (15)	Retention Election (19)	Governor / Legislature (7)	Serve to Age 70 or Life (4)
Alabama	Arkansas	Alaska	Connecticut	Massachusetts
Louisiana	Georgia	Arizona	Delaware	New Hampshire
Texas	Idaho	California	Hawaii	New Jersey
West Virginia	Kentucky	Colorado	Maine	Rhode Island
	Michigan	Florida	Vermont	
	Minnesota	Illinois	South Carolina	
	Mississippi	Indiana	Virginia	
	Montana	Iowa		
	Nevada	Kansas		
	North Carolina	Marylad		
	North Dakota	Missouri		
	Ohio	Nebraska		
	Oregon	New Mexico		
	Washington	Oklahoma		
	Wisconsin	Pennsylvania		
		South Dakota		
		Tennessee		
		Utah		
		Wyoming		

Devins, Neal and Mansker, Nicole, "The Judiciary and the Popular Will: Popular Opinion and State Supreme Courts" 13 *University of Pennsylvania Journal of Constitutional Law* 455 (2010), 456. Ibid.